

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x	
	:
In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (SCC)
	: (Jointly Administered)
Debtors.	:
	:
-----x	
	Ref. Docket Nos. 43886, 43903, 44066, 44067

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 25, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
29<sup>th</sup> day of April, 2014

/s/ Panagiotis Caris  
Notary Public, State of New York  
No. 01CA6237515  
Qualified in Queens County  
Commission Expires March 21, 2015

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: LAI LAI CHING  
RM H 15/F BLOCK 1  
FLORA PLAZA  
FAN LING  
HONG KONG

Please note that your claim # 57235 in the above referenced case and in the amount of  
\$100,000.00 allowed at \$46,826.94 has been transferred (unless previously expunged by court order)

ROYAL BANK OF SCOTLAND NV, THE  
TRANSFEROR: LAI LAI CHING  
30/F, AIA CENTRAL  
1 CONNAUGHT ROAD CENTRAL  
HONG KONG

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 43886 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 04/25/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 25, 2014.

**EXHIBIT B**

TIME: 14:59:47  
DATE: 04/25/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND LP	TRANSFEROR: STERNE AGEE UK LLP C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
LAI LAI CHING	RM H 15/F BLOCK 1 FLORA PLAZA FAN LING HONG KONG
LAI LAI CHING	TRANSFEROR: ROYAL BANK OF SCOTLAND NV, THE RM H 15/F BLOCK 1 FLORA PLAZA FAN LING HONG KONG
RIVERROCK SECURITIES LIMITED	TRANSFEROR: BBVA (SUIZA) S.A. ATTN: GUI GOYARD 8-10 GROSVENOR GARDENS LONDON SW1W 0DH UNITED KINGDOM
ROYAL BANK OF SCOTLAND NV, THE	TRANSFEROR: LAI LAI CHING 30/F, AIA CENTRAL 1 CONNAUGHT ROAD CENTRAL HONG KONG
ROYAL BANK OF SCOTLAND NV, THE	TRANSFEROR: LAI LAI CHING 7/F, LINCOLN HOUSE, TAIKOO PLACE 979 KING'S ROAD QUARRY BAY HONG KONG
STERNE AGEE UK LLP	TRANSFEROR: NEWPORT OVERSEAS HOLDINGS, INC. 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM
TURNPIKE LIMITED	TRANSFEROR: STERNE AGEE UK LLP C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022

Total Number of Records Printed

10